

Activity as Supervisory Authority

Inspections of obliged entities	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
Banks and savings banks	3	12,5	3	16,7	0	3	60,0	0
Credit cooperatives	6	25,0	5	27,8	-17			
Other credit institutions	1	4,2	1	5,6	0	1	20,0	0
Insurance (life and unit-linked)	6	25,0				1	20,0	
Investment services companies	6	25,0						
Collective investment management companies			2	11,1				
Electronic money institutions			2	11,1				
Payment institutions	2	8,3	1	5,6	-50			
Specialised lending institutions			2	11,1				
Currency-exchange bureaux			2	11,1				
Subtotal Inspections of financial obliged entities	24	42,1	18	60,0	-25	5	55,6	-72
Property developers	13	39,4						
Statutory auditors	10	30,3						
Notaries			3	25,0		1	25,0	-67
Registrars			1	8,3		1	25,0	0
Lawyers	10	30,3	2	16,7	-80	1	25,0	-50
Company service providers						1	25,0	
Casinos			2	16,7				
Jewellery, precious stones and metals trade			2	16,7				
Lotteries and similar			2	16,7				
Subtotal Inspections of non-financial obliged entities	33	57,9	12	40,0	-64	4	44,4	-67
Total	57	100	30	100	-47	9	100	-70

Supervisory actions, by typology	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
Annual structured information questionnaire for credit institutions	193	92,3	190	92,7	-2	193	86,9	2
Reports to the Standing Committee of the CPMLMO	6	2,9	10	4,9	67	12	5,4	20
Inspection monitoring reports			2	1,0				
Other supervisory actions	10	4,8	3	1,5	-70	17	7,7	467
Total	209	100	205	100	-2	222	100	8

International cooperation in Supervision	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
EU AML/CTF supervisory colleges in cooperation with national prudential supervisors	7	15,6	81	71,7	1.057	114	75,5	41
Participation in EU AML/CTF supervisory colleges of foreign entities	7	15,6	79	69,9	1.029	100	66,2	27
Organization of EU AML/CTF supervisory colleges of spanish entities			2	1,8		14	9,3	600
Information sharing with other supervisors	25	55,6	22	19,5	-12	14	9,3	-36
Cooperation with other authorities and supervisors in AML/CTF	13	28,9	10	8,8	-23	23	15,2	130
Total	45	100	113	100	151	151	100	34

Activity as Supervisory Authority

Authorisation or registration procedures, by typology	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
Creation of financial institutions	123	56,9	148	53,2	20	155	41,1	5
Acquisition of significant holdings	76	35,2	66	23,7	-13	67	17,8	2
Registration of providers engaged in exchange services between virtual currency and fiat currencies and custodian wallet providers			28	10,1		136	36,1	386
Structural changes	3	1,4	12	4,3	300	4	1,1	-67
Amendments to articles of association	14	6,5	24	8,6	71	15	4,0	-38
Total	216	100	278	100	29	377	100	36

Mandatory reports on the creation of financial institutions	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
Bank of Spain	79	64,2	92	62,2	16	100	64,5	9
National Securities Market Commission	43	35,0	55	37,2	28	53	34,2	-4
General Secretariat of the Treasury and International Financing			1	0,7		2	1,3	100
Directorate General of Insurance and Pension Funds	1	0,8						
Total	123	100	148	100	20	155	100	5

Mandatory reports on the acquisition of significant holdings	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
National Securities Market Commission	53	69,7	50	75,8	-6	46	68,7	-8
Bank of Spain	16	21,1	12	18,2	-25	13	19,4	8
Directorate General of Insurance and Pension Funds	6	7,9	4	6,1	-33	8	11,9	100
General Secretariat of the Treasury and International Financing	1	1,3						
Total	76	100	66	100	-13	67	100	2

Reports on the registration of providers engaged in exchange services between virtual currency and fiat currencies and custodian wallet providers	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
Bank of Spain			28	100,0		136	100,0	386
Total			28	100		136	100	386

Mandatory report on amendments to articles of association	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
General Secretariat of the Treasury and International Financing	3	100,0	9	75,0	200	3	75,0	-67
Bank of Spain			3	25,0		1	25,0	-67
Total	3	100	12	100	300	4	100	-67

Mandatory reports on structural changes	2020		2021			2022		
	No	%	No	%	Change (%)	No	%	Change (%)
General Secretariat of the Treasury and International Financing	7	50,0	19	79,2	171	9	60,0	-53
Directorate General of Insurance and Pension Funds	3	21,4	5	20,8	67	4	26,7	-20
Bank of Spain	4	28,6				2	13,3	
Total	14	100	24	100	71	15	100	-38