

Activity as Supervisory Authority

| Inspections of obliged entities | 2020 | | 2021 | | | 2022 | | |
|---|-----------|-------------|-----------|-------------|------------|----------|-------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| Banks and savings banks | 3 | 12,5 | 3 | 16,7 | 0 | 3 | 60,0 | 0 |
| Credit cooperatives | 6 | 25,0 | 5 | 27,8 | -17 | | | |
| Other credit institutions | 1 | 4,2 | 1 | 5,6 | 0 | 1 | 20,0 | 0 |
| Insurance (life and unit-linked) | 6 | 25,0 | | | | 1 | 20,0 | |
| Investment services companies | 6 | 25,0 | | | | | | |
| Collective investment management companies | | | 2 | 11,1 | | | | |
| Electronic money institutions | | | 2 | 11,1 | | | | |
| Payment institutions | 2 | 8,3 | 1 | 5,6 | -50 | | | |
| Specialised lending institutions | | | 2 | 11,1 | | | | |
| Currency-exchange bureaux | | | 2 | 11,1 | | | | |
| Subtotal Inspections of financial obliged entities | 24 | 42,1 | 18 | 60,0 | -25 | 5 | 55,6 | -72 |
| Property developers | 13 | 39,4 | | | | | | |
| Statutory auditors | 10 | 30,3 | | | | | | |
| Notaries | | | 3 | 25,0 | | 1 | 25,0 | -67 |
| Registrars | | | 1 | 8,3 | | 1 | 25,0 | 0 |
| Lawyers | 10 | 30,3 | 2 | 16,7 | -80 | 1 | 25,0 | -50 |
| Company service providers | | | | | | 1 | 25,0 | |
| Casinos | | | 2 | 16,7 | | | | |
| Jewellery, precious stones and metals trade | | | 2 | 16,7 | | | | |
| Lotteries and similar | | | 2 | 16,7 | | | | |
| Subtotal Inspections of non-financial obliged entities | 33 | 57,9 | 12 | 40,0 | -64 | 4 | 44,4 | -67 |
| Total | 57 | 100 | 30 | 100 | -47 | 9 | 100 | -70 |

| Supervisory actions, by typology | 2020 | | 2021 | | | 2022 | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| Annual structured information questionnaire for credit institutions | 193 | 92,3 | 190 | 92,7 | -2 | 193 | 86,9 | 2 |
| Reports to the Standing Committee of the CPMLMO | 6 | 2,9 | 10 | 4,9 | 67 | 12 | 5,4 | 20 |
| Inspection monitoring reports | | | 2 | 1,0 | | | | |
| Other supervisory actions | 10 | 4,8 | 3 | 1,5 | -70 | 17 | 7,7 | 467 |
| Total | 209 | 100 | 205 | 100 | -2 | 222 | 100 | 8 |

| International cooperation in Supervision | 2020 | | 2021 | | | 2022 | | |
|---|-----------|------------|------------|------------|------------|------------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| EU AML/CTF supervisory colleges in cooperation with national prudential supervisors | 7 | 15,6 | 81 | 71,7 | 1.057 | 114 | 75,5 | 41 |
| Participation in EU AML/CTF supervisory colleges of foreign entities | 7 | 15,6 | 79 | 69,9 | 1.029 | 100 | 66,2 | 27 |
| Organization of EU AML/CTF supervisory colleges of spanish entities | | | 2 | 1,8 | | 14 | 9,3 | 600 |
| Information sharing with other supervisors | 25 | 55,6 | 22 | 19,5 | -12 | 14 | 9,3 | -36 |
| Cooperation with other authorities and supervisors in AML/CTF | 13 | 28,9 | 10 | 8,8 | -23 | 23 | 15,2 | 130 |
| Total | 45 | 100 | 113 | 100 | 151 | 151 | 100 | 34 |

Activity as Supervisory Authority

| Authorisation or registration procedures, by typology | 2020 | | 2021 | | | 2022 | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| Creation of financial institutions | 123 | 56,9 | 148 | 53,2 | 20 | 155 | 41,1 | 5 |
| Acquisition of significant holdings | 76 | 35,2 | 66 | 23,7 | -13 | 67 | 17,8 | 2 |
| Registration of providers engaged in exchange services between virtual currency and fiat currencies and custodian wallet providers | | | 28 | 10,1 | | 136 | 36,1 | 386 |
| Structural changes | 3 | 1,4 | 12 | 4,3 | 300 | 4 | 1,1 | -67 |
| Amendments to articles of association | 14 | 6,5 | 24 | 8,6 | 71 | 15 | 4,0 | -38 |
| Total | 216 | 100 | 278 | 100 | 29 | 377 | 100 | 36 |

| Mandatory reports on the creation of financial institutions | 2020 | | 2021 | | | 2022 | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| Bank of Spain | 79 | 64,2 | 92 | 62,2 | 16 | 100 | 64,5 | 9 |
| National Securities Market Commission | 43 | 35,0 | 55 | 37,2 | 28 | 53 | 34,2 | -4 |
| General Secretariat of the Treasury and International Financing | | | 1 | 0,7 | | 2 | 1,3 | 100 |
| Directorate General of Insurance and Pension Funds | 1 | 0,8 | | | | | | |
| Total | 123 | 100 | 148 | 100 | 20 | 155 | 100 | 5 |

| Mandatory reports on the acquisition of significant holdings | 2020 | | 2021 | | | 2022 | | |
|---|-----------|------------|-----------|------------|------------|-----------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| National Securities Market Commission | 53 | 69,7 | 50 | 75,8 | -6 | 46 | 68,7 | -8 |
| Bank of Spain | 16 | 21,1 | 12 | 18,2 | -25 | 13 | 19,4 | 8 |
| Directorate General of Insurance and Pension Funds | 6 | 7,9 | 4 | 6,1 | -33 | 8 | 11,9 | 100 |
| General Secretariat of the Treasury and International Financing | 1 | 1,3 | | | | | | |
| Total | 76 | 100 | 66 | 100 | -13 | 67 | 100 | 2 |

| Reports on the registration of providers engaged in exchange services between virtual currency and fiat currencies and custodian wallet providers | 2020 | | 2021 | | | 2022 | | |
|---|------|---|-----------|------------|------------|------------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| Bank of Spain | | | 28 | 100,0 | | 136 | 100,0 | 386 |
| Total | | | 28 | 100 | | 136 | 100 | 386 |

| Mandatory report on amendments to articles of association | 2020 | | 2021 | | | 2022 | | |
|---|----------|------------|-----------|------------|------------|----------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| General Secretariat of the Treasury and International Financing | 3 | 100,0 | 9 | 75,0 | 200 | 3 | 75,0 | -67 |
| Bank of Spain | | | 3 | 25,0 | | 1 | 25,0 | -67 |
| Total | 3 | 100 | 12 | 100 | 300 | 4 | 100 | -67 |

| Mandatory reports on structural changes | 2020 | | 2021 | | | 2022 | | |
|---|-----------|------------|-----------|------------|------------|-----------|------------|------------|
| | No | % | No | % | Change (%) | No | % | Change (%) |
| General Secretariat of the Treasury and International Financing | 7 | 50,0 | 19 | 79,2 | 171 | 9 | 60,0 | -53 |
| Directorate General of Insurance and Pension Funds | 3 | 21,4 | 5 | 20,8 | 67 | 4 | 26,7 | -20 |
| Bank of Spain | 4 | 28,6 | | | | 2 | 13,3 | |
| Total | 14 | 100 | 24 | 100 | 71 | 15 | 100 | -38 |