

Activity as Supervisory Authority

Inspections of obliged entities	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
Banks and savings banks	3	16,7	3	60,0	0	1	12,5	-67
Credit cooperatives	5	27,8						
Other credit institutions	1	5,6	1	20,0	0	2	25,0	100
Insurance (life and unit-linked)			1	20,0				
Investment services companies						1	12,5	
Collective investment management companies	2	11,1						
Electronic money institutions	2	11,1				1	12,5	
Payment institutions	1	5,6						
Specialised lending institutions	2	11,1						
Currency-exchange bureaux	2	11,1						
Virtual currency services						3	37,5	
Subtotal Inspections of financial obliged entities	18	60,0	5	55,6	-72	8	66,7	60
Notaries	3	25,0	1	25,0	-67	2	50,0	100
Registrars	1	8,3	1	25,0	0	1	25,0	0
Lawyers	2	16,7	1	25,0	-50	1	25,0	0
Company service providers			1	25,0				
Casinos	2	16,7						
Jewellery, precious stones and metals trade	2	16,7						
Lotteries and similar	2	16,7						
Subtotal Inspections of non-financial obliged entities	12	40,0	4	44,4	-67	4	33,3	0
Total	30	100	9	100	-70	12	100	33

Supervisory actions, by typology	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
Annual structured information questionnaire for credit institutions	190	92,7	193	86,9	2	193	67,2	0
Annual structured information questionnaire for insurance companies						81	28,2	
Reports to the Standing Committee of the CPMLMO	12	5,9	12	5,4	0	12	4,2	0
Other supervisory actions	3	1,5	17	7,7	467	1	0,3	-94
Total	205	100	222	100	8	287	100	29

International cooperation in Supervision	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
EU AML/CTF supervisory colleges in cooperation with national prudential supervisors:	81	71,7	114	75,5	41	128	71,5	12
Participation in EU AML/CTF supervisory colleges of foreign entities	79	69,9	100	66,2	26,6	121	67,6	21
Organization of EU AML/CTF supervisory colleges of spanish entities	2	1,8	14	9,3	600,0	7	3,9	-50
Information sharing with other supervisors	22	19,5	14	9,3	-36,4	14	7,8	0
Cooperation with other authorities and supervisors in AML/CTF	10	8,8	23	15,2	130,0	37	20,7	61
Total	113	100	151	100	34	179	100	19

Activity as Supervisory Authority

Mandatory inspections for the issuance of reports required in authorization or registration procedures, by typology	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
Creation of financial institutions	148	53,2	155	41,1	5	128	43,5	-17
Registration of providers of virtual currency exchange services by fiduciary and wallet custody services.	28	10,1	136	36,1	386	70	23,8	-49
Acquisition of significant shareholdings	66	23,7	67	17,8	2	76	25,9	13
Structural changes	24	8,6	15	4,0	-38	17	5,8	13
Amendments to articles of association	12	4,3	4	1,1	-67	3	1,0	-25
Total	278	100	377	100	36	294	100	-22

Mandatory inspections for the issuance of reports required in procedures for the creation of financial institutions and the processes for granting online gaming licenses	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
Bank of Spain	92	62,2	100	64,5	9	74	57,8	-26
National Securities Market Commission	55	37,2	53	34,2	-4	54	42,2	2
General Secretariat of the Treasury and International Financing	1	0,7	2	1,3	100			
Total	148	100	155	100	5	128	100	-17

Mandatory inspections for the issuance of reports required in the acquisition of significant shareholdings	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
National Securities Market Commission	50	75,8	46	68,7	-8	58	76,3	26
Bank of Spain	12	18,2	13	19,4	8	15	19,7	15
Directorate General of Insurance and Pension Funds	4	6,1	8	11,9	100	3	3,9	-63
Total	66	100	67	100	2	76	100	13

Mandatory inspections for the issuance of reports required in procedures for structural changes	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
General Secretariat of the Treasury and International Financing	19	79,2	9	60,0	-53	8	47,1	-11
Directorate General of Insurance and Pension Funds	5	20,8	4	26,7	-20	7	41,2	75
Bank of Spain			2	13,3		2	11,8	0
Total	24	100	15	100	-38	17	100	13

Mandatory inspections for the issuance of reports required in procedures for the amendments to articles of association	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
General Secretariat of the Treasury and International Financing	9	75,0	3	75,0	-67	2	66,7	-33
Bank of Spain	3	25,0	1	25,0	-67	1	33,3	0
Total	12	100	4	100	-67	3	100	-25

Mandatory inspections for the issuance of reports required in procedures for the registration of providers of virtual currency exchange services by fiduciary and wallet custody services.	2021		2022			2023		
	No	%	No	%	Change (%)	No	%	Change (%)
Bank of Spain	28	100,0	136	100,0	386	70	100,0	-49
Total	28	100	136	100	386	70	100	-49

* Statistics from previous years have been updated and refined according to the latest information available